Stress windows and Base Faithfulness in English suffixal derivatives Juliet Stanton and Donca Steriade (MIT)

1 Introduction

- 1. This study proposes a new analysis of the phonological cycle, and illustrates its success with evidence from English stress.
- 2. **Our claim:** in the productive computation of the phonology of any morphologically complex expression, *all words lexically related to it are potential bases* ("base" = a derived input, a surface form relative to which the target form is expected to be faithful (Benua 1997)).

We distinguish two types of bases:

- a. Local bases (B_Ls): exponents of derivatives' immediate syntactic subconstituents.
- b. Remote bases (B_Rs): forms lexically related to derivatives, but distinct from their local bases.

Example: átomicity has B_L atómic, B_R átom.

We propose that remote bases, in addition to local bases, are relevant in calculations of Base-Derivative faithfulness.

- 3. The English word $\grave{a}tomicity$ (B_L: $at\acute{o}mic$; B_R: $\acute{a}tom$) will introduce the main idea. In a multi-layered form like atomicity, faithfulness can be evaluated in at least three ways:
 - a. non-cyclically, relative to underlying forms only:

b. cyclically, i.e. relative to a derived representation of the *maximal* inner stem, the B_L:

$$\begin{array}{cccc} UR \ /\varpi t \ Dm / \ Ik / \ /Iti / \ B_L \ [s'tamik] & \downarrow \\ D \ [s'tam' is \ -s'i] \end{array}$$

c. relative to a derived representation of the inner stem, the B_R , and the underlying forms of affixes:

4. We focus here on the less well-documented option, (3c). Elements of the analysis:

- a. A preference exists for the local base to be the unique correspondent of the derivative's stem. We encode this preference as a violable constraint, CORRB_L
 - CORRB_L: assign a * for each derivative whose stem does not correspond with its B_L.
- b. This preference coexists however with a broader, undifferentiated form of faithfulness that can be satisfied by letting the inner stem of the derivative correspond to the stem of any lexically related form (General Correspondence, or GC). We assume that GC is inviolable, i.e. all derivatives must correspond with some base.
- 5. By expressing the preference for correspondence to local bases as a violable constraint, we reject the idea that locality is a defining property of cyclic derivations.¹
 - a. **Prediction:** the preference for local bases, CORRB_L can lose, when M >> CORRB_L.
 - b. **Supporting evidence:** cases where faithfulness to the local base is abandoned, as it entails unacceptable departures from markedness, but faithfulness to remote bases is still active.
- 6. A simplified derivation of *atomicity* shows how we put to use the correspondence pattern to B_R (3c).

Relevant constraints:

- BD-IDENT(stress): assign a * for each pair of correspondent syllables differing in stress
- *CLASH: assign a * to each sequence of adjacent stresses.
- *EXTLAPSE: assign a * to each sequence of three stressless syllables (000)

If accentual faithfulness is evaluated relative to the remote base *atom*, all M constraints, as well as BD-IDENT(stress), are satisfied.

$\begin{array}{c} atom + ic + ity \\ B_L \ atomic \ 010 \\ B_R \ \ atom \ 10 \end{array}$		BD-IDENT(stress)	*CLASH	*ExtLapse	$CorrB_L$
> a. àtom _R ícity	20100				*
b. atómic _L ity	01000			*!	
c. atòmíc _L ity	02100	*!	*		
d. àtomíc _L ity	20100	*!**			

7. Are there alternatives?

a. Benua 1997: forms like *atomicity* are obtained by allowing accentual M constraints to outrank BD-IDENT(stress).

atom + ic + ity B: atómic 010	*CLASH	*ExtLapse	BD-IDENT(stress)
> a. àtomícity 20100			***
b. atómicity 01000		*!	*
c. atòmícity 02100	*!		

¹ For the idea that only local bases can be bases, see Benua 1997: 30-31, also Kager 1999: 281-282.

b. Prediction: clash will *always* be resolved in *-ity* words. This is incorrect: we derive the wrong result for words with accentually invariant lexical families, e.g. *àlcohòlicity* (203100), below.

alcohol + ic + ity B: àlcohólic 2010	*CLASH	BD-IDENT(stress)
6 [™] a. àlcoholícity 200100		*
⊗ b. àlcohòlícity 203100	*!	

8. Under our analysis, the difference between àtomícity and àlcohòlícity follows from independent facts about their lexical families. A *CLASH violation is inevitable in àlcohòlícity because all members of its lexical paradigm (álcohòl, àlcohòlic, àlcohòlism, etc.) carry some stress on -hol-.

alcohol + ic + ity B _L : àlcohólic 2010 B _R : álcohòl 201 ()	BD-IDENT(stress)	*CLASH	CORRBL
> a. àlcohòl _R ícity 203100		*	*
b. àlcohòlíc _L ity 203100	*!	*	
d. àlcohol _L ícity 200100	*!		

We can *predict* the difference between $\grave{alcoholicity}$ (with clash) and $\grave{atomicity}$ (without). When a B_R is available whose stress pattern could lead to an accentually improved D (= an *accentually preferable* B_R), it is used.

9. Roadmap:

- §2: We document that BD-IDENT >> most M for all types of English suffixal derivatives.
- §3: We provide evidence that most $M >> CORRB_L$.
- §4: Discussion of suffix-specific effects: some $M_{Suff} >> BD$ -IDENT; other $M_{Suff} >> CORRB_L$.
- §5: We verify our analysis against the English lexicon.

2 Evidence for BD-IDENT(stress) >> M in all English suffixal derivatives

10. Work on English morphology has typically divided affixes into Level 1 and 2. Arguments for Level 1 or 2 status usually appeal to an affix's interaction with stress (stress-shifting 1 vs. stress-neutral 2).

While there is widespread agreement among authors that some suffixes belong to Level 1 (e.g. -ity, -al, -ic), others, like -able and -ize, are more contentious: they display characteristics of both levels.

Examples	SPE (1968)	Siegel (1974)	Aronoff (1976)	Spencer (1991)
-ity,-al, -ic, -(at)ion	Level 1	Level 1	Level 1	Level 1
−ize, −able	Level 2	Level 1	Level 1 & 2	Level 1 & 2

Our claim: there is no binary or n-ary partition. The "split level suffixes" (*-able, -ize*) have predictable behavior, given the structure of the derivatives' lexical families and their sometimes distinct markedness needs.

11. "Level 1" and "split-level" derivatives are completely faithful to the stress of their bases, modulo certain window effects that we discuss here (and some other suffix-specific effects, discussed later).

- 12. These faithfulness effects emerge when we distinguish two regions within the derived word:
 - a. A right-edge window (Kager 2012) whose stress may be constrained, in suffix-specific ways.

Suffix examples	Window size	Window constraint
–ee, –esque, –ese	1σ	STRESSR _{Suff}
-ic	2σ	*LAPSER _{Suff}
−al, −ity	3σ	*EXTLAPSER _{Suff}

b. The rest of the derived word (the *faithful domain*)

The (b) region displays faithfulness to the stress of the base even when stress must shift in the (a) region, to satisfy the window constraints.

13. Example: inàlienabílity 02000100

$$-ity D$$
: 0 2 0 0 0 1 0 0 in a li en a bi li ty

Faithful domain Window

Ranking that generates this: *EXTLAPSER_{Suff} >> BD-IDENT(stress) >> *EXTLAPSE

inalienable + ity	*EXTLAPSER _{Suff}	BD-IDENT(stress)	*ExtLapse	
B _L : inálienable 010000	EXILAPSERSuff	Window FD	EXILAPSE	
> a. inàlienabíl _L ity 02000 100		*	*	
b. inálienabil _L ity 02000 000	*!		****	
c. inàliènabíl _L ity 02020 100		* *!		

14. Others like *inalienability*, where WINDOW >> BD-IDENT(stress) >> *EXTLAPSE:

Suffix	# of forms	Example from	each suffix	B_L		Window size
-ation	27	cànnibalizátion	2 <u>000</u> 10	cánnibalìze	1002	3σ
-ic	1	hèndecasyllábic ³	2 <u>000</u> 10	hèndecasýllable	200100	2σ
-ite	1	méteorolite	2 <u>000</u> 1	méteor	100	3σ
-ity	4	disciplinabílity	2 <u>000</u> 100	dísciplinable	10000	3σ
-ize	3	málleablìze	2 <u>000</u> 1	málleable	10002	3σ

Upshot: With respect to BD-IDENT(stress) >> *EXTLAPSE, -ity, -ic (etc.) are just like -ish (etc.)

² With small caveats: a preference for #231 (vs. #021) (initial trochaic inversion) can sometimes, but not always, lead to BD-IDENT(stress) violations (see Kager 1989: 171, Pater 2000). *LAPSEL, in English, is also inviolable.

³ There is some variability in the pronunciation of 'hendecasyllabic,' due to the variability of the pronunciation of its base, 'hendecasyllable'. 'Hendecasyllable' can be either 200100 or 020100; the -ic D either 200010 or 020010.

- 15. In addition to *EXTLAPSE, BD-IDENT(stress) dominates many other general high-ranked stress phonotactics. We sketch here a few of the arguments; see the appendix for further justification.
 - a. BD-IDENT(stress) >> *CLASH, *LIGHTCLASH⁴: colòssálity > *còlossálity (cf. colóssal)

colossal + ity B _L : colóssal 010	BD-IDENT(stress)	*CLASH *LIGHTCLASH
> a. colòssál _L ity 02100		*(C), *(LC)
b. còlossál _L ity 20100	*!*	

Other examples:

-ize: hòtélìze 213, *102
 -ic: dèltáic 210, *010
 -ee: expèllée 021, *201
 cf. B_L hòtél 21
 cf. B_L délta 10
 cf. B_L expél 01

b. BD-IDENT(stress) >> WSP: házardous > *hazárdous (cf. házard)

hazard + ous B _L : házard 10	BD-IDENT(stress)	WSP
> a. házard _L ous 100		*
b. hazárd _L ous 010	*!*	

Other examples:

• $-al_{Adj}$: súffixal 100, *010 cf. B_L súffix 10 (13/18 speakers consulted)

• -able: chállengable 1000, *0100 cf. B_L chállenge 10

• -ary: légendàry 1020, *0100 cf. B_L légend 10

c. $BD ext{-}IDENT(stress) >> EXTNONFIN: forbiddal <math>\times *forbiddal (cf. forbid)$

forbid + al B _L : forbíd 01	BD-IDENT(stress)	ExtNonFin
> a. forbídd _L al 010		*
b. fórbidd _L al 100	*!*	

Other examples:

• al_{Adj} : committal~010, *100 cf. B_L commit~01• al_{Adj} : dismissal~010, *100 cf. B_L dismiss~01• al_{Adj} : ref'erral~010, *100 cf. B_L ref'er~01

- 16. **Comparison with alternatives:** We reconstruct the predictions of the currently standard analysis of English Level 1 stress (Liberman and Prince 1977, Kiparsky 1982, Halle and Vergnaud 1987; Pater 2000) for the data just examined. These predictions are based on the following ideas:
 - Level 1 forms exhaustively parsed into feet (= *ExtLapse, Lapse undominated beyond R edge).
 - Feet are q-sensitive on right edge (= WSP satisfied); can be q-insensitive elsewhere.
 - Monomoraic feet are avoided (FTBIN ≈ *LIGHTCLASH generally satisfied)

⁴ Constraint definitions provided in the appendix.

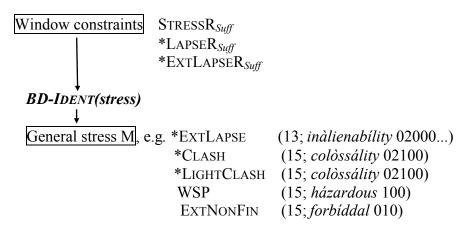
In translating rule-based LPM to OT analyses, and foot-based to foot-free constraints some of these predictions are harder to reconstruct for a clear comparison. We cite specific authors whose statements or rankings speak directly to the predictions listed below:

This analysis	Predicts	Standard alternative	Predicts
BDIDENT>>EXTLAPSE	inàlienabílity	exhaustive bin. parse (Kiparsky	*inàliènabílity
	02000100	1982): Parse $\sigma >> BDIdStress$	0(20)(20)(10)
BDIDENT>>*LIGHTCLASH	colòssálity	FTBIN >>BDIDSTRESS	*còlossálity
	02100	(Pater 2000)	(20)(10)0
BDIDENT>>WSP	házardous 100	FTBIN, NONFIN >> ALIGNR >>	*hazárdous 0(1)0
BDIDENT >> EXTNONFIN	forbíddal 010	BDIDSTRESS (Benua 1997)	*fórbiddal (10)0

What we find significant is that, in our analysis, BD-IDENT(stress) systematically outranks all general M constraints (caveat in fn. 2 aside), even at "Level 1".

17. The picture, so far:

- a. Window constraints >> BD-IDENT(stress). This ensures that, for certain classes of suffixal derivatives, stress falls close to the right edge.
- b. For all suffixal families, BD-IDENT(stress) >> all general stress M discussed here.



3 Remote bases

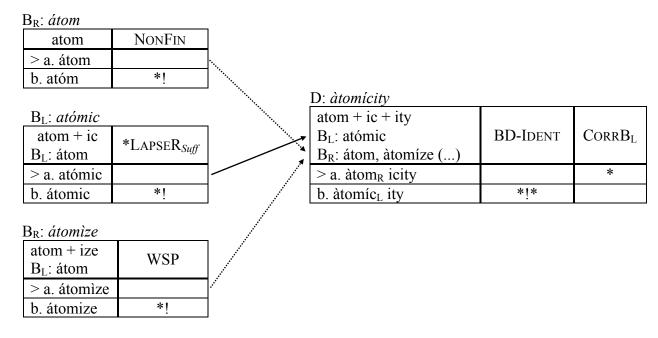
- 18. Having established the general BD-IDENT >> M ranking for stress in derived words, we show that M-improvements are possible by appealing to accentually preferable B_Rs.
- 19. A preliminary question: what criteria must a word satisfy to count as a remote base? Two things:
 - a. The B_R is **semantically related** to its potential D: this excludes pairs like *invalid, inválidate*.
 - b. The B_R is **more frequent** than the D (preliminary indications: large differences in Google hits between the B_R and the D). This condition is based on the assumption that productive Ds have low token frequency: any B by reference to which the D is formed *must be known to the speaker* to exert an influence, hence is likely to have higher frequency than its D.
- 20. Example: the lexical family of *atomicity*, with B_L *atomic*:

- a. *atomicity* is semantically related to many other words, other than its B_L : *atom, atomechanics, atomical, atomician, atomicism, atomist, atomistic, atomization, atomize, atomless....*
- b. Of these, however, only a subset are more frequent than *atomicity* (655k Google hits⁵):
 - i. More frequent: atom (425m), atomician (2.7m), atomization (686k), atomize (967k)
 - ii. <u>Less frequent</u>: atomechanics (68k), atomical (181k), atomicism (87k), atomism (477k), atomist (157k), atomistic (574k), atomization (686k), atomize (967k), atomless (51k).
- c. What this means: of the many semantically related forms to *atomicity*, only four of them (*atom*, *atomize*, *atomician*, *atomization*) satisfy both criteria in (2), and qualify as B_Rs for *atomicity*. All have accentual and segmental properties similar to those of *átom* and different from *atóm*—.
- 21. Illustration of *atomicity*'s lexical family (arrows indicate an asymmetrical BD relation):

<u>D</u> : atomicity √ ·····	<u>B</u> _R s:	Other forms (not potential B _R s):
1 1 1 1 1 1 1 1 1 1	atom, atomician	atomechanics, atomical, atomicism, atomist,
$\underline{\mathbf{B}}_{\underline{\mathbf{L}}}$: atomic	atomize, atomization	atomistic, atomization, atomize, atomless

Comments

- The B_L, *atomic*, enjoys a privileged status: *atomicity* prefers to be faithful to it.
- B_Rs (atom, atomician, atomize, atomization), like the B_L, are **independently and productively derived**. They are more frequent than their co-derivative atomicity, and can influence its form.



The others (atomechanics, etc.) are not B_Rs of atomicity, because they are less frequent than it.

⁵ These are preliminary numbers – the number of Google hits is not the best way to assess lexical frequency, as the number of hits is not directly tied to the number of times the word is used (for example: very uncommon words may receive inflated counts from dictionaries, translation websites, etc.).

- 22. We illustrate the use of B_Rs with -ity Ds. Relevant M constraints:
 - *CLASH: a * for each sequence of two adjacent stresses.
 - *LIGHTCLASH: a * for each sequence of two adjacent stresses, where the first syllable is light.
- 23. We consider first –ity derivatives that have the following properties:
 - their local bases end in 10, causing *EXTLAPSER_{Suff}, *CLASH, and BD-ID(stress) to conflict.
 - there are no accentually preferable B_Rs available.

The result: violations of all varieties of *CLASH⁶.

*(LIGHT)CLASH v 24 total, incli	B_L		
àlcoh[a]lícity	20 <u>31</u> 00	àlcohólic	2010
c[ε]llárity	<u>21</u> 00	céllar	10
col[a]ssálity	0 <u>21</u> 00	colóssal	010
sph[i]rícity	<u>21</u> 00	sphéric	10
hòriz[a]ntálity	20 <u>31</u> 00	hòrizóntal	2010

- 24. Now: a different set of *-ity* derivatives with the following properties:
 - their local bases end in 10, causing *EXTLAPSER_{Suff}, *CLASH, and BD-ID(stress) to conflict.
 - there are accentually preferable B_Rs available.

In these cases, the derivative systematically resembles the stress pattern of its remote base.

Derivative matches B_R 23 total, including:		B_R		B_L	
àtomícity	20100	átom	10	atómic	010
apòstolícity	020100	apóstle	010	àpostólic	2010
càlorícity	20100	cálorie	100	calóric	010
mùtagenícity	200100	mútagen	100	mùtagénic	2010
historicity	20100	hístory	100	históric	100

25. The appeal to B_R s, in these cases, is a *clash-avoidance strategy*: *CLASH >> CORRB_L.

Full tableau, to demonstrate, for *apostolicity*:

⁶ What about atómic, original, (etc.) with no clash? We assume that these and other frequent clash-less forms are lexicalized.

Discussion of candidates:

- (25a): apòstol_R icity corresponds with apostle_R, and incurs only a violation of CORRB_L.
- (25b): $\grave{a}post\grave{o}l\acute{i}c_L$ ity corresponds with $apostolic_L$. It incurs violations of BD-IDENT(stress) and *CLASH, as a stress is added to $-l\acute{i}$ to satisfy the window constraint (*EXTLAPSER_{Suff}).
- (25c): $\grave{a}postolic_L$ ity corresponds with $apostolic_L$. It incurs two violations of BD-IDENT(stress), as a stress is added to -li- (to satisfy *EXTLAPSER_{Suff}) and deleted from -sto- (to satisfy *CLASH).
- (25d): $\grave{a}post\acute{o}lic_L$ ity corresponds with $apostolic_L$. It incurs a violation of *EXTLAPSER_{Suff}, as none of the final three syllables are stressed.
- 26. Other M constraints >> CORRB_L. Two arguments sketched below; more in the appendix.
 - a. *EXTLAPSE >> CORRB_L: demónstrable (B_R: demónstrative) > *démonstrable (B_L: démonstrate)

demonstrate + able B _L : démonstrate 102 B _R : demónstrative 0100	*EXTLAPSE	CORRBL
> a. demónstr _R able 0100		*
b. démonstr _L able 1000	*!	

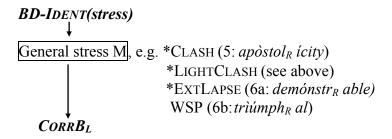
Cf. chállengable, from chállenge (with a violation of *EXTLAPSE).

b. $WSP >> CORRB_L$: trìúmphal (B_R : trìúmphant) $\succ *tríumphal$ (B_L : tríumph)

triumph + al B _L : tríumph 10 B _R : trìúmphant 210	WSP	CORRB _L
> a. trìúmph _R al 210		*
b. tríumph _L al 100	*!	

Cf. súffixal, from súffix (with a violation of WSP; 13/18 speakers consulted).

27. Preliminary analysis, window constraints aside:



28. The behavior of some suffixes (the Germanic suffixes) suggests that the ability to correspond with remote bases varies parametrically across suffixes: *-ish* and *-ing*, for example, do not allow any M-improvements by appealing to B_Rs.

See the appendix for details and a minor revision to the analysis, to account for this.

4 Further evidence for suffix-specific constraints

- 29. The proposed analysis claims that the difference between "Level 1" and "Level 2" derivatives can be attributed entirely to suffix-specific constraints. Here we provide more evidence that these constraints are independently necessary (see also Plag 1999, Raffelsiefen 2004).
- 30. We identify two types of suffix-specific constraints:
 - (31): those that dominate BD-IDENT(stress).
 - (32): those that dominate CORRB_L, providing more evidence for remote bases.

31. M_{Suff}>> BD-IDENT(stress): *LIGHTCLASH in -ician derivatives

While heavy clashes are allowed in *-ician* derivatives, light clashes are not.

Heavy clashes allowed	Light clashes banned
8 total, including:	16 total, including:
sỳntàctícian 2310 (cf. sýntàx 12, syntáctic 010)	còsmetícian 2010, *2310 (cf. còsmétic 210)
semàntician 0210 (cf. semántic 010)	logícian 010, *210 (cf. lógic 10)
pàradòxícian 20310 (cf. páradòx 102)	magícian 010, *210 (cf. mágic 10)

How to account for this: *LIGHTCLASH_ician >> BD-IDENT(stress) >> *LIGHTCLASH.

32. **BD-IDENT(stress)** >> **M**_{Suff} >> **CORRB**_L: *LAPSE in Latinate derivatives

a. Some Latinate suffixes (e.g. *-ite*) carry with them an additional restriction on lapsed sequences. This preference becomes clear when we examine forms without a morphological base.

-ite baseless forms	
àmphíbolìte 2103, *1002	
pèntácrinite 2103, *1002	
pỳrolúsìte 2013, *1002	

Why *àmphìbolite* (with a violation of *CLASH) and not *àmphibolite* (cf. *Kàlamazóo*)? We claim that *all lapsed sequences are penalized in Latinate derivatives*.

b. BD-IDENT(stress) >> *LAPSE_{Lat}: violations of *LAPSE_{Lat} allowed so that Ds can resemble Bs.

–ite form violating *LAPSE _{Lat} 18 total, including:	B_L
crócodilìte ⁷ 1002	crócodìle 102
méteorite 1002	méteor 100
méteorolite 10002	méteor 100
gálleryìte 1002	gállery 100

⁷ From the OED: H. Mason (1624) *New Art of Lying* ii. 35 This muddy Nylus so fertile of Crocodiles, I mean of this sophistique Crocodilites, whereby vnware men are ouer-reached and caught.

Tableau for *méteorite*:

meteor + ite B _L : méteor 100	BD-IDENT(stress)	*LAPSE _{Lat}
> a. méteorite 1002		*
b. mèteórite 2013	*	

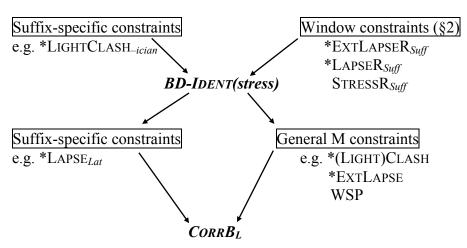
c. *LAPSE_{Lat} >> CORRB_L: correspondence with remote bases permitted to satisfy *LAPSE_{Lat}.

–ite derivative	B_R	B_L
metábolíte 0102	metábolism 01020	mètabólic 2010
hỳdrògeníte 2103	hỳdrógenàte 2103	hỳdrogen 100

Tableau for *metábolite* (B_L identified as *metabolic* by the OED⁸):

metabolic + ite B _L : mètabólic 2010 B _R : metábolism 01020	*CLASH	*LAPSE _{Lat}	$CorrB_L$
> a. metábol _R ite 0102		 	*
b. métabol _L ìte 1002		*!	
c. mètaból _L ìte 2013	*!	 	

- d. Examples of other suffixes governed by *LAPSE_{Lat}: -ate, -ify, -ary
- 33. Schema of the analysis (see the appendix for a more complete picture):



5 Global support

- 34. Question: does a global look at the English lexicon support the analysis proposed here?
- 35. Testing this: suffixal derivatives of each type listed in Marchand (1969). Derivative lists are from from Lehnert (1971) and the OED; judgments verified by the first author⁹.

⁸ We assume productive truncation here: metabolic + ite = metabolieite. Cf. Aronoff 1976.

⁹ Derivative lists available upon request.

36. Two findings:

a. The number of derivatives that have *potential access* to an accentually preferable remote base (" B_R better") is small: 6% on average.

Suffix	# of forms	B_R better	%	Suffix	# of forms	B_R better	%
-a/ence	250	0	0%	-ese	108	1	1%
-a/ency	102	16	16%	-esque	16	1	6%
-a/ent	283	2	1%	-ette	39	0	0%
-acy	60	2	3%	–ful	87	0	0%
-age	108	0	0%	-hood	52	0	0%
-al	701	22	3%	-ian	126	7	6%
-an	30	0	0%	-ic	78	2	3%
–arian	23	3	13%	–ician	50	9	3%
-ary	190	10	5%	-ify	21	11	52%
-ate	166	8	5%	–ite	50	2	4%
-ation	158	32	20%	-ity	76	26	34%
<i>−cy</i>	22	0	0%	-ive	163	17	10%
-dom	52	0	0%	-ize	145	15	11%
-ее	90	22	24%	-oid	45	5	4%
–eer	42	0	0%	-olatry	15	0	0%
-ery	95	0	0%	-ous	55	2	4%

b. Of this class, an overwhelming majority resemble the accentual profile of their B_R ("B_R used").

Suffix	B_R best	B_R used	%	Suffix	B_R best	B_R used	%
-al	22	22	100%	-ite	2	2	100%
-arian	3	3	100%	-oid	5	5	100%
-ary	10	10 (1 var.)	100%	-ize	15	15	100%
-ate	8	8	100%	-ous	2	2	100%
-ation	32	32	100%	-ive	17	16 (2 var.)	94%
-ese	1	1	100%	-ity	26	23 (1 var.)	88%
-esque	1	1	100%	-ее	20	17 (5 var.)	85%
-ian	7	7	100%	-a/ent	2	1	50%
-ic	2	2	100%	-acy	2	1	50%
-ician	9	9 (2 var.)	100%	-a/ency	16	0	$0\%^{10}$
-ify	11	11	100%				

37. Certain remote bases are predictably inaccessible:

-ee: in 1/3 of the cases where an -ee D fails to resemble its B_R, the last stem consonant in the local B differs from the corresponding consonant in the accentually preferable remote base.

resi[n]ee 021, from resign ([n]) (*resi[g]nee 102, from resi[gn]ation 20-10)

1

 $^{^{10}}$ All 16 cases where an -a/ency derivative fails to resemble the stress of an accentually preferable remote base are similar: the -a/ency form (e.g. excellency 1000) resembles the an -a/ence form (e.g. excellence 100), even though an accentually preferable remote base (e.g. excel 01) exists. These deviations were explained by Chomsky & Halle (1968: p. 130 ff.) and Liberman & Prince (1977: 293-4) by claiming that <y> and other final sonorants are non-syllabic when stress is assigned.

Similarly on *-able* derivatives (Steriade 1999¹¹):

contribu[t]able 01000 from contribu[t]e 010 (*contribu[f]able 20100 from contribu[f]on 2010)

38. Other remote bases are variably accessible, a fact not always reflected in the OED:

-ity: in 2/3 of the cases where an *-ity* D fails to resemble its B_R , the judgments are variable and highly speaker-dependent, but not reflected as such in the OED.

```
ar[\vartheta]maticity: 200100 (OED) ~ ar[\upsilonU]maticity 020100 (6/10 speakers consulted) isot[\vartheta]nicity 200100 (OED) ~ isot[\upsilonU]nicity 203100 (6/14 speakers consulted)
```

7 Brief discussion and summary

39. Brief discussion:

- a. We've seen that whether or not a given derivative will correspond with a local base, or another related word, is a predicted by M >> CORRB_L.
- b. **Question**: when a derivative corresponds with the stress of a B_R, can it correspond with its segmentals, too? Preliminary evidence suggests yes. See the appendix.

40. Summary:

a. **The English-specific point:** the F >> M ranking is consistent for all English suffixes, "Level 1" or "Level 2". Differences among them can be attributed to the activity of suffix-specific constraints, which are independently necessary.

b. The broader point:

- The phonological cycle is the formal mechanism ensuring that a derivative phonologically resembles members of its lexical family.
- The preference that derivatives resemble their local bases is *violable*.
- c. Further evidence for this view comes from phenomena in other languages:
 - Italian agentive nouns (Burzio 1998)
 - French adjectival liaison (Steriade 1999)
 - Romanian inflected nouns (Steriade 2008)
 - Ukrainian and East Slavic nominal derivatives (Steriade & Yanovich, to appear)

¹¹ These cases have been analyzed as involving multiple correspondence between the D, the B_L and the B_R . The proposed generalization is that the D must correspond to the B_L in certain segmental properties: if the B_R mismatches these features, its stress becomes inaccessible as well. This proposal is consistent with the main point developed here: that B_R s are used in computing the stress of most English derivatives. Its formalization is presented in Steriade (1999), but reconciling that with the evidence developed here is left to future work.

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Appendix 1

Constraint definitions

General M constraints, and their suffix-specific versions:

- *CLASH: assign one * for each sequence of adjacent stressed syllables.
 - *CLASH_ation: assign one * for each sequence of adjacent stressed syllables for -ation forms.
- *LIGHTCLASH: assign one * for each sequence of adjacent stressed syllables, where the first syllable in the sequence is light (e.g. [bɪ], [bɛ]).
- *EXTLAPSE: assign one * for each sequence of stressless syllables 00 such that neither is adjacent to a stress or a word boundary.
 - *LAPSE_{Lat}: assign one * for each sequence of two unstressed syllables in Latinate derivatives.
- WSP: assign one * if a heavy syllable is not stressed.
- EXTNONFIN: assign one * if stress falls on one of the final two syllables.

Window constraints:

- *EXTLAPSER_{Suff}: assign one * if none of the final three syllables are stressed, for certain classes of suffixal derivatives (e.g. -ity, -al).
- *LAPSER_{Suff}: assign one * if neither of the final two syllables are stressed, for certain classes of suffixal derivatives (e.g. -ic, -ation).
- STRESSR_{Suff}: assign one * if the rightmost syllable is stressless, for certain classes of suffixal derivatives (e.g. *-ee*, *-esque*).

CORRB and BD-IDENT constraints:

- CORRB_L: assign a * for each derivative whose stem does not correspond with its B_L.
- BD-IDENT(stress): assign one * for each pair of correspondent syllables differing in stress.
 - Evaluated relative to the base that the derivative stands in correspondence with.

Appendix 2

Evidence for various ranking arguments, and a more complete analysis

BD-IDENT >> M

1. BD-IDENT(stress) >> *EXTLAPSE: see handout (§2) for evidence from a number of suffixes.

See also Steriade (1999) for documentation of *EXTLAPSE violations in -able Ds.

- 2. BD-IDENT(stress) >> *CLASH, *LIGHTCLASH
 - a. -ity: see handout (§3) for justification.
 - b. *-ize*: 18 forms, including:

```
\begin{array}{lll} \textit{sph\'er\`oidize} \ 132 & \textit{cf.} \ B_L \ \textit{sph\'er\'oid} \ 12 \\ \textit{\`antis\'eptize} \ 2013 & \textit{cf.} \ B_L \ \textit{\`antis\'eptic} \ 2010 \\ \textit{h\'ot\'elize} \ 213 & \textit{cf.} \ B_L \ \textit{h\'ot\'el} \ 21 \end{array}
```

c. -ian: 16 forms, including:

```
lìbrárian 2100 cf. B<sub>L</sub> líbrary 100
vùlgárian 2100 cf. B<sub>L</sub> vúlgar 10
vìcárian 2100 cf. B<sub>L</sub> vícar 10
```

d. -ic: 30 forms, including:

e. -ician (NB heavy clashes only): 7 forms, including:

```
tàctícian 210 cf. B_L táctic 10 semàntícian 0210 cf. B_L semántic 010 pàradòxician 20210 cf. B_L pàradóxic 2010
```

f. -ee: 35 forms, including:

```
exp\`ell\'ee 021 cf. B_L exp\'el 01 abùs\'ee 021 cf. B_L abúsee 01 cf. B_L enr\'oll 01
```

g. -al: many, including:

```
spònsórial 2100 cf. B<sub>L</sub> spónsor 10
clìéntal 210 cf. B<sub>L</sub> client 10
èdictal 210 cf. B<sub>L</sub> édict 12
```

- 3. BD-IDENT(stress) >> WSP
 - a. $-al_{Adj}$: 4 forms, with speaker-dependent variation:

```
\begin{array}{lll} \textit{pr\'efixal} \ 100 \ (12/18 \ \text{speakers}) & \text{cf. B}_L \ \textit{pr\'efix} \ 10 \\ \textit{s\'uffixal} \ 100 \ (13/18) & \text{cf. B}_L \ \textit{s\'uffix} \ 10 \\ \textit{\'affixal} \ 100 \ (9/18) & \text{cf. B}_L \ \textit{\'affix} \ 10 \\ \textit{\'autumnal} \ 100 \ (3/18) & \text{cf. B}_L \ \textit{\'autumn} \ 10 \end{array}
```

b. -ous: 8 forms, including:

```
cávernous 100 cf. B<sub>L</sub> cávern 10
chívalrous 100 cf. B<sub>L</sub> chívalry 100
házardous 100 cf. B<sub>L</sub> házard 10
légendous 100 cf. B<sub>L</sub> légend 10
```

c. -able: see Steriade (1999) for data. Some examples:

```
admínistrable 01000 cf. B<sub>L</sub> admínister 0100
bállastable 1000 cf. B<sub>L</sub> bállast 10
chállengable 1000 cf. B<sub>L</sub> chállenge 10
```

d. -ary: at least 3:

```
vòluntáry 2010 cf. B<sub>L</sub> vòluntéer 102
lègendáry 2010 cf. B<sub>L</sub> légend 10
sècondáry 2010 cf. B<sub>L</sub> sécond 10
```

- 4. BD-IDENT(stress) >> EXTNONFIN
 - a. -al: 5 forms, including:

```
forbiddal 010 cf. B<sub>L</sub> forbid 01 committal 010 cf. B<sub>L</sub> commit 01 dismissal 010 cf. B<sub>L</sub> dismiss 01
```

- 5. BD-IDENT(stress) >> STRESSL: many suffixes. This ranking argument is generally acknowledged and accepted in recent literature (e.g. Burzio 1994, Benua 1997, Collie 2007, 2008). Two examples:
 - a. -arian: 10 forms, including:

```
eqùalitárian 020100 cf. B<sub>L</sub> équal 10
necèssitárian 020100 cf. B<sub>L</sub> necéssity 0100
```

b. -ity: many forms, including:

```
originálity 020100 cf. B<sub>L</sub> original 0100 predictability 020100 cf. B<sub>L</sub> predictable 0100
```

$M >> CORRB_L$

- 1. *EXTLAPSE >> CORRBL
 - a. -able: see Steriade (1999) for extensive justification and discussion. Some examples:

demónstrable 0100 cf. B_L démonstràte 102, B_R demónstrative 0100

obfúscable 0100 cf. B_L óbfuscàte, B_R obfúscatòry 01020 illústrable 0100 cf. B_L íllustràte, B_R illústrative 0100

- b. -ate: 1 form: pròfessóriate 20100, cf. B_L proféssor 010, B_R pròfessórial 20100
- b. -ous: 1 form: àbdóminous 2100, cf. B_L ábdomen 100/102, B_R àbdóminal 2100
- c. -oid: 1 form: càrtiláginòid 20103, cf. B_L cártilage 100, B_R càrtiláginous 20100
- *(LIGHT)CLASH >> CORRBL
 - a. -ize: 14 forms, including:

Jápanize 102 cf. B_L Japán 01, B_R Jàpanése 201

bureáutìze 0102 cf. B_L búreaucràt 102, B_R bureáucracy 0100 àttitúdinìze 20102 cf. B_L áttitùde 102, B_R àttitúdinal 20100

b. -arian: 3 forms:

àttitudinárian 2020100 cf. B_L áttitùde 102, B_R àttitúdinal 20100 plàtitùdinárian 2020100 cf. B_L plátitùde 102, B_R plàtitùdinal 20100 cf. B_L áltitùde 102, B_R àltitúdinal 20100

c. *-ician*: 7 forms, including:

phònetícian 2010 cf. B_L phonétic 010, B_R s phóne 1, phónic 10 mèchanícian 2010 cf. B_L mechánic 010, B_R méchanìsm 2010 ecònometrician 020010 cf. B_L ecònométric 02010, B_R ecónomy 0100

d. –ee: 17 forms, including:

cònferée 201 cf. B_L confér 01, B_R conference 1(0)0 cf. B_L consúlt 01, B_R cònsultátion 2010 prèsentée 201 cf. B_L presént 01, B_R prèsentátion 2010

e. -ation: 32 forms, including:

itàlicizátion 020010 cf. B_L itálicize 0102, B_R itálic 010

cànnibalizátion 200010 cf. B_L cánnibalìze 1002, B_R cánnibal 100

sèrializátion 200010 cf. B_L sérialize 1002, B_R sérial 100

- 3. WSP >> CORRB_L
 - a. -al: 5 forms, including:

```
\begin{array}{ll} \textit{diamétral 2010} & \textit{cf. } B_L \textit{ diámeter } 0100, B_R \textit{ diamétric } 2010 \\ \textit{hèxamétral 2010} & \textit{cf. } B_L \textit{ hexámeter } 0100, B_R \textit{ hèxamétric } 2010 \\ \textit{pàramétral 2010} & \textit{cf. } B_L \textit{ parámeter } 0100, B_R \textit{ pàramétric } 2010 \end{array}
```

- 4. EXTNONFIN >> CORRBL
 - a. -ous: arómatous 0100, cf. B_L àromátic 2010, B_R aróma
 - b. -al: 19 forms, including:

```
dèmoníacal 20100 cf. B<sub>L</sub> démon 100, B<sub>R</sub> demóniac 0100 pròsodíacal 20100 cf. B<sub>L</sub> prosódiàc 0102, B<sub>R</sub> prósody 100 cf. B<sub>L</sub> mércury 100, B<sub>R</sub> mercúriate 0100
```

$CORRB_L >> M$

- 1. $CORRB_L >> STRESSL$
 - a. -ity: the well-known case of originality (B_L original 0100, B_R origin 100), and others.
 - b. -arian: 4 forms, including:

```
eqùalitárian 020100 cf. B_L equálity 0100, B_R équal 10 necèssitárian 020100 cf. B_L necéssity 0100, B_R néed 1 humànitárian 020100 cf. B_L humánity 0100, B_R húman 10
```

$CorrB_{LGer} >> M$

Native Germanic suffixes prohibit correspondence with B_Rs , whatever the consequences. Some examples from -ish and -ing below, where *EXTLAPSE is violated despite there being B_Rs available.

1. −*ish*: many forms, including:

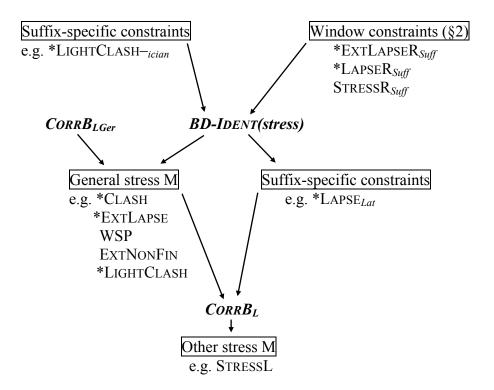
```
 \begin{array}{lll} \textit{\'orchestra-ish} \ 1000 & \textit{cf.} \ B_L \ \textit{\'orchestra} \ 100, \ B_R \ \textit{orch\'estral} \ 010 \\ \textit{\'excellent-ish} \ 1000 & \textit{cf.} \ B_L \ \textit{\'excellent} \ 100, \ B_R \ \textit{exc\'el} \ 01 \\ \textit{cf.} \ B_L \ \textit{\'emedy-ish} \ 1000 & \textit{cf.} \ B_L \ \textit{\'emedy} \ 100, \ B_R \ \textit{rem\'edial} \ 0100 \\ \end{array}
```

2. *-ing*: many forms, including:

```
rémedying 1000 cf. B<sub>L</sub> rémedy 100, B<sub>R</sub> remédial 0100 cf. B<sub>L</sub> órnament 100, B<sub>R</sub> òrnaméntal 2010 présidenting 1000 cf. B<sub>L</sub> président 100, B<sub>R</sub> prèsidéntial 2010
```

The contrast between Latinate (-ity and -ive) and Germanic (-ing and -ish) suffixes necessitates a revision: a version of CORRB_L, indexed to Germanic derivatives (CORRB_{LGer}), dominates all M.

Total ranking hierarchy



Appendix 3

Segmental identity to remote bases

1. Recall our analysis of [æ]tomícity: the [æ] appears in the doubly-suffixed form because *atomicity* stands in correspondence with its remote base, [æ]tom. (Simplified tableau below.)

$[\mathfrak{Z}] tom + ic + ity \\ B_L: [\mathfrak{Z}] tómic \\ B_R: [\mathfrak{Z}] tom$	*EXTLAPSER_ity	BD-IDENT(stress)	*CLASH	CORRBL
> a. [à]tom _R ícity				*
b. [ə]tómic _L ity	*!			
c. [ə]tòmíc _L ity		*!	*	
d. [æ]tomíc _L ity		*!*		

- 2. Our approach can be compared to Benua (1997), where the appearance of [æ] would be attributed to a high-ranked IO-IDENT constraint preserving the vowel quality of the input. Both analyses are capable of accounting for *atomicity*, but globally, the two make distinct predictions.
 - a. Benua: multiply suffixed derivatives can be faithful to only those properties of remote bases *that* are present in the input.
 - b. This paper: multiply suffixed derivatives can be faithful to *any* property of a remote base, even noncontrastive properties that are not guaranteed to be part of the input.
- 3. A testing ground for the two hypotheses: the behavior of *-ee* derivatives.
 - a. Albright (2006): the release quality of the pre-ee stop is linked to the release quality of the –ee derivative's base in isolation
 - i. NT clusters are likely released, thus paint yields pàin[th]ée.
 - ii. Final singleton Ts are likely unreleased or glottalized, thus eat yields èa[t]ée (*èa[th]ée).
 - b. Albright analyzes this correspondence in release quality as an effect of an OO identity constraint; let's call it BD-IDENT(release). Tableau for *eatee* is below. (*TV = aspirate before stresses.)

$eat + ee$ B_L : $\acute{e}a[t]$	BD-IDENT[release]	*TÝ
> a. èa[t]ée		*
b. èa[t ^h]ée	*!	

- 4. We focus here on –ee derivatives of four verbs: permute, salute, permit, and sublet.
 - a. All four verbs end in final singleton Ts; we expect all four -ee derivatives to lack aspiration.
 - b. But this does not bear out: aspiration is preferred in *pèrmutée* and *sàlutée* (but not the others).

Aspiration s	trongly preferred	No strong preference
pèrmu[t ^h]ée	(*pèrmu[t]ée)	^{??} pèrmi[t]ée, ^{??/*} pèrmi[t ^h]ée
sàlu[t ^h]ée	(*sàlu[t]ée)	^{??} sùble[t]ée, ^{??/*} sùble[t ^h]ée

- 5. The relevant difference between the *permutee*-type and the *permitee*-type –ee derivatives: *permute* and *salute* have related forms with aspirated stops (permuta[th]ion, salu[th]ion). The others don't.
 - a. For *permute*: when the *-ee* derivative is faithful to the *-ation* form's stress, to avoid a violation of *CLASH, it is faithful to its segmentals as well. This includes the aspirated T.

$\begin{array}{c} \text{permute} + \text{ee} \\ B_L: \text{permú}[\overline{t}] \\ B_R: \text{pèrmu}[t^h] \text{átion} \end{array}$	BD-IDENT[release]	*CLASH	*TÝ ¹³	CORRBL
> a. pèrmu[t ^h] _R ée		1 1 1		*
b. pèrmu[t] _R ée	*!	1	*	*
c. permù[t] _L ée		*1	*	

b. For *permit*: corresponding with *pérmit* (n.) allows satisfaction of *CLASH. Faithfulness to the segmentals of *pérmit* (n.) does not license aspiration, because the stop is likely unreleased.

$\begin{array}{c} \text{permit} + \text{ee} \\ B_L: \text{permi}[t] \text{ (v.)} \\ B_R: \text{pérmì}[t] \text{ (n.)} \end{array}$	BD-IDENT[release]	*Clash	*TÝ	CORRBL
> a. pèrmì[t] _R ée		1 1 1	*	*
b. pèrmì[t ^h] _R ée	*!	! !		*
c. permì[t] _R ée		*!	*	

- 6. Implications: what kind of model can analyze segmental identity with *non-contrastive properties of remote bases*?
 - a. Two things the model must allow: (i) access to remote bases, and (ii) surface correspondence.
 - b. Our model allows both. Other current, competing models of cyclicity do not.
 - i. Stratal OT (Kiparsky 2000, Bermúdez-Otero 2011): no allowed access to remote bases¹⁴ or guaranteed access to surface properties of remote bases.
 - ii. Standard OO correspondence (e.g. Benua 1997): no access to remote bases.
 - iii. Cyclic locality (e.g. Embick 2010): no access to either remote bases or surface properties.

¹² Another difference between the two sets lies in the pre-/t/ vowel quality: in *permute* and *compute* the vowel is tense, and in *permit* and *sublet* the vowel is lax. In English, release of final singleton stops is more likely following tense vowels (see Kang 2003: 240-242), and this asymmetry shows up in –ee derivatives: in VT-ée contexts, the pre-ee stop is more likely to be aspirated if V is tense (A. Albright, p.c.). But it is doubtful that the vowel quality difference between *permute* and *permit* (for example) is responsible for the difference in aspiration: permù[r??]ée, with the stress of *permute*, cannot have an aspirated T.

¹³ Evidence that *TÝ >> CORRB_L comes from forms like elìci[tʰ]ée, (cf. elícit̄, elìci[tʰ]átion), but this isn't crucial.

 $^{^{14}}$ Collie's (2007, 2008) proposal of "fake cyclicity", attributed to Bermúdez-Otero (in prep), allows that multi-layered forms can access the phonology of subconstituents. This proposal differs from ours. First, fake cyclicity does not allow coderivatives to act as remote bases. Second, it predicts that B_R s are accessed probabilistically, depending on their frequency; we claim that access to B_R s is predicted by $M >> CORRB_L$.